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### **RESEARCH ARTICLE**

## **A NOVEL ALGORITHM FOR SATILLITE IMAGE RESOLUTION ENHANCEMENT BASED ON DUAL-TREE COMPLEX WAVELET TRANSFORM (DT-CWT) AND NONLOCAL MEANS (NLM)**

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*Abstract— The Resolution enhancement (RE) schemes (which are not based on wavelets) has one major drawback of losing high frequency contents (which results in blurring). The discrete wavelet- transform-based (DWT) RE scheme generates artifacts (due to a DWT shift-variant property). A wavelet-domain approach based on dual-tree complex wavelet transform (DT-CWT) and nonlocal means (NLM) is proposed for RE of the satellite images. A satellite input image is decomposed by DT-CWT (which is nearly shift invariant) to obtain high-frequency sub bands. Here the Lanczos interpolator is used to interpolate the high-frequency sub bands and the low-resolution (LR) input image. The high frequency sub bands are passed through an NLM filter to cater for the artifacts generated by DT-CWT (despite of its nearly shift invariance). The filtered high-frequency sub bands and the LR input image are combined using inverse DT-CWT to obtain a resolution-enhanced image. Objective and subjective analyses show superiority of the new proposed technique over the conventional and state-of-the-art RE techniques.*

*Index Terms— Dual-tree complex wavelet transform (DT-CWT), Lanczos interpolation, resolution enhancement (RE), shift variant*

### I. INTRODUCTION

RESOLUTION (spatial, spectral, and temporal) is the limiting factor for the utilization of remote sensing data (satellite imaging, etc.). In satellite images (unprocessed) Spatial and spectral resolutions are related (a high spatial resolution is associated with a low spectral resolution and vice versa) with each other [1]. So, spectral, as well as spatial, resolution enhancement (RE) is desirable. Interpolation has been widely used for RE [2], [3].

Commonly used interpolation techniques are based on nearest neighbors (include nearest neighbor, bilinear, bicubic, and Lanczos). The Lanczos interpolation (windowed form of a sinc filter) is superior than its counterparts (including nearest neighbor, bilinear, and bicubic) because it has increased ability to detect edges and linear features. And it also offers the best compromise in terms of reduction of aliasing, sharpness, and ringing [4]. Methods based on vector-valued image regularization with partial differential equations (VVIR-PDE) [5] and inpainting and zooming using sparse representations [6] are now state of the art in the field (mostly applied for image inpainting but can be also seen as interpolation). RE schemes (which are not based on wavelets) suffer from one major drawback of losing high frequency contents (which results in blurring). RE by using wavelet domain is a new research area, and recently, many algorithms [discrete wavelet transform (DWT) [7], stationary wavelet transform (SWT) [8], and dual-tree complex wavelet transform (DT-CWT) [9] have been proposed [7]–[11]. An RE scheme was proposed in [9] using DT-CWT and bicubic interpolations, and results were compared shown superior) with the conventional schemes (i.e., nearest neighbor, bilinear, and bicubic interpolations and wavelet zero padding). More recently, in [7], a scheme based on DWT and bicubic interpolation was proposed, and results were compared with the conventional schemes and the state-of-art schemes (wavelet zero padding and cyclic spinning [12] and DT-CWT [9]). But, DWT is shift variant, which causes artifacts in the RE image, and has a lack of directionality; so, DT-CWT is almost shift and rotation invariant [13]. DWT-based RE schemes generate artifacts (due to DWT shift-variant property). In this paper, a DT-CWT-based nonlocal-means-based RE (DT-CWT-NLM-RE) technique is proposed, using the DT-CWT, Lanczos interpolation, and NLM. This DT-CWT technique is nearly shift invariant and directional selective. Moreover, DT-CWT preserved the usual properties of perfect reconstruction with well-balanced frequency responses [13], [14]. Consequentially, DT-CWT gives better results after the modification of the wavelet coefficients and provides less artifacts, as compared with traditional DWT. Since the Lanczos filter offer less aliasing, sharpness, and minimal ringing, so, this one is the better choice for RE. NLM filtering [15] is used to further enhance the performance of DT-CWT-NLM-RE by reducing the artifacts. The results (for spatial RE of optical images) are compared with the best performing techniques [5], [7]–[9].

## II. PRELIMINARIES

### A. NLM Filtering

The NLM filter (an extension of neighborhood filtering algorithms) is based on the assumption that image content is likely to repeat itself within some neighborhood (in the image) [15] and in neighboring frames [16]. It computes denoised pixel  $x(p, q)$  by the weighted sum of the surrounding pixels of  $Y(p, q)$  (within frame and in the neighboring frames) [16]. This feature provides a way to estimate the pixel value from noisecontaminated images. In a 3-D NLM algorithm, the estimate of a pixel at position  $(p, q)$  is

$$x(p, q) = \frac{\sum_{m=1}^M \sum_{(r,s) \in N(p,q)} Y_m(r,s) K_m(r,s)}{\sum_{m=1}^M \sum_{(r,s) \in N(p,q)} K_m(r,s)} \quad (1)$$

Where  $m$  is the frame index, and  $N$  represents the neighborhood of the pixel at location  $(p, q)$ .  $K$  values are the filter weights, i.e.

$$K(r, s) = \exp\left\{-\frac{\|V(p,q)-V(r,s)\|_2^2}{2\sigma^2}\right\} \times f(\sqrt{(p-r)^2 + (q-s)^2 + (m-1)^2}) \quad (2)$$

where  $V$  is the window [usually a square window centered at the pixels  $Y(p, q)$  and  $Y(r, s)$ ] of pixel values from a geometric neighborhood of pixels  $Y(p, q)$  and  $Y(r, s)$ ,  $\sigma$  is the filter coefficient, and  $f(\cdot)$  is a geometric distance function.  $K$  is inversely proportional to the distance between  $Y(p, q)$  and  $Y(r, s)$ .

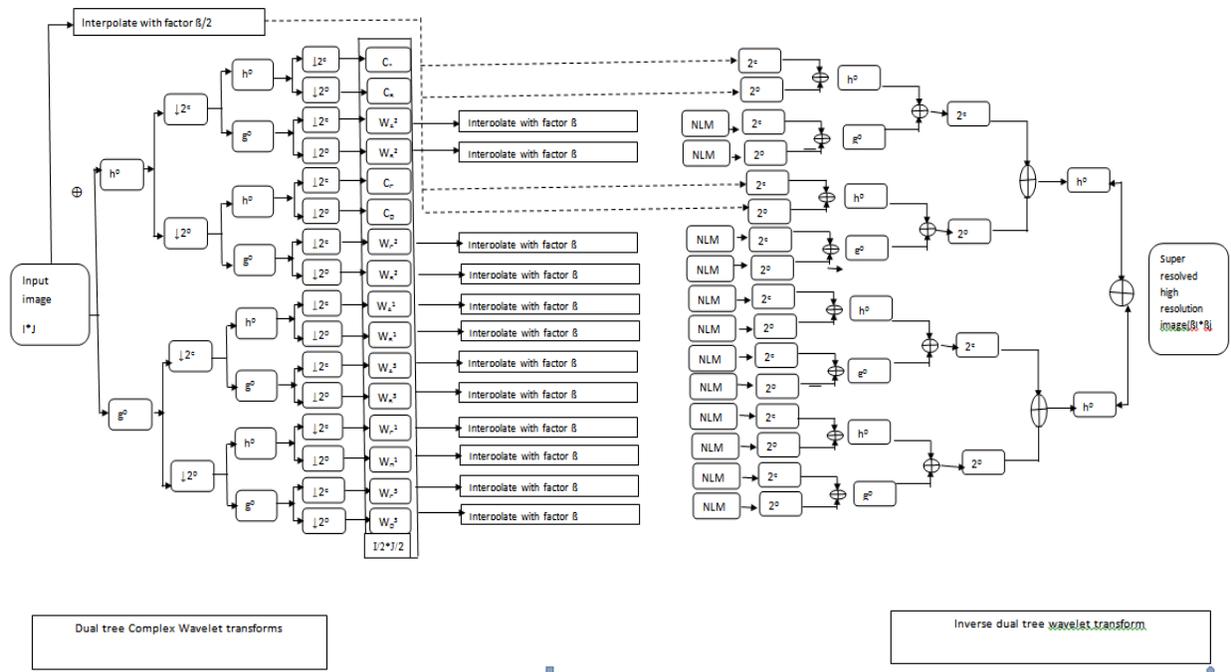


Figure. Block diagram of the proposed DT-CWT-RE algorithm.

### B. NLM-RE

RE is achieved by modifying NLM with the following model [17]:

$$L_m = IJQX + n \quad (3)$$

where  $L_m$  is the vectorized low-resolution (LR) frame,  $I$  is the decimation operator,  $J$  is the blurring matrix,  $Q$  is the warping matrix,  $X$  is the vectorized high-resolution (HR) image, and  $n$  denotes the Gaussian white noise. The aim is to restore  $X$  from a series of  $L$ . Penalty function  $\epsilon$  is defined as

$$\epsilon^2 = \frac{1}{2} \sum_{m=1}^M \|IJQX - Y_m\|_2^2 + \lambda R(x) \quad (4)$$

where  $R$  is a regularization term,  $\lambda$  is the scale coefficient,  $x$  is the targeted image, and  $Y_m$  is the LR input image. In [17], the total variation kernel is chosen to replace  $R$ , acting as an image deblurring kernel. To simplify the algorithm, a separation of the problem in (4) is done by minimizing

$$\epsilon_{\text{fusion}}^2(Z) = \frac{1}{2} \sum_{m=1}^M (IQZ - L_m)^T O_m (IQZ - L_m) \quad (5)$$

where  $Z$  is the blurred version of the targeted image, and  $O_m$  is the weight matrix, followed by minimizing a deblurring equation [11], i.e.,

$$\epsilon_{\text{RE}}^2(X) = \|X - Z\|_2^2 + \lambda R(Z) \quad (6)$$

Pixel wise solution of (5) can be obtained as

$$\hat{z} = \frac{\sum_{m=1}^M \sum_{(r,s) \in N(p,q)} Y_m^r(r,s) K_m^r(r,s)}{\sum_{m=1}^M \sum_{(r,s) \in N(p,q)} K_m^r(r,s)} \quad (7)$$

where the superscript  $r$  refers to the HR coordinate. Instead of estimating the target pixel position in nearby frames, this algorithm considers all possible positions where the pixel may appear; therefore, motion estimation is avoided [11]. Equation (7) apparently resembles (1), but (7) has some differences as compared with (1). The weight estimation in (2) should be modified because 'K' is corresponding matrix  $O$  has to be of the same size as the HR image. Therefore, a simple up scaling process to patch  $V$  is needed before computing  $K$ . The total number of pixel  $Y$  in (7) should be equal to the number of weights  $K$ . Thus, a zero-padding interpolation is applied to  $L$  before fusing the images [11].

### III. PROPOSED METHOD

In this algorithm (DT-CWT-NLM-RE), we decompose the LR input image (for the multichannel case, each channel is separately treated) in different sub bands (i.e.,  $C_i$  and  $W_i^j$ , where  $i \in \{A, B, C, D\}$  and  $j \in \{1, 2, 3\}$ ) by using DT-CWT, as shown in Fig. 1.  $C_i$  values are the image coefficient sub bands, and  $w_i^j$  are the wavelet coefficient sub bands. Here the subscripts A, B, C, and D represent the coefficients at the even-row and even-column index, the odd-row and even column index, the even-row and odd-column index and the odd-row and odd-column index, respectively, where  $a$  and  $g$  represent the low-pass and high-pass filters, respectively. And the superscript  $e$  and  $o$  represent the even and odd indices, respectively.  $W_i^j$  values are interpolated by factor  $\beta$  using the Lanczos interpolation (having good approximation capabilities) and Combined with the  $\beta/2$ -interpolated LR input image. Since  $C_i$  contains low-pass-filtered image of the LR input image, therefore, high-frequency information is lost. To cater for it, we have used the LR input image instead of  $C_i$ . Although the DT-CWT is almost shift invariant [14], therefore, it may produce artifacts after the interpolation of  $W_i^j$  so to remove these artifacts, NLM filtering is used. All interpolated  $W_i^j$  values are passed through the NLM filter. After that we apply the inverse DT-CWT to these filtered subbands along with the interpolated LR input image to reconstruct the HR image. The results presented show that the proposed DT-CWT-NLM-RE algorithm gives better than the existing wavelet-domain RE algorithms in terms of the peak-signal-to noise ratio (PSNR), the MSE, and the Q-index [18].

#### IV. SIMULATION RESULTS



Figure : Input Image



Figure : Output Image (High Resolution Image)

#### V. CONCLUSION

In this paper an RE technique based on DT-CWT and an NLM filter has been proposed. This technique decomposes the LR input image using DT-CWT. By using the Lanczos interpolator Wavelet coefficients and the LR input image was interpolated. This DT-CWT is nearly shift invariant and generates less artifacts as compared with DWT. NLM filtering is used to overcome the artifacts generated by DT-CWT and to further enhance the performance of the proposed technique in terms of MSE, PSNR, and Q-index. Experimental results show the superior performance of proposed techniques.

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