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RESEARCH ARTICLE

Construction of Stream Ciphers from Block Ciphers and their Security

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Abstract: With well-established encryption algorithms like DES or AES at hand, one could have the impression that most of the work for building a cryptosystem -for example a suite of algorithms for the transmission of encrypted data over the internet - is already done. But the task of a cipher is very specific: to encrypt or decrypt a data block of a specified length. Given an plaintext of arbitrary length, the most simple approach would be to break it down to blocks of the desired length and to use padding for the final block. Each block is encrypted separately with the same key, which results in identical ciphertext blocks for identical plaintext blocks. This is known as Electronic Code Book (ECB) mode of operation, and is not recommended in many situations because it does not hide data patterns well. Furthermore, ciphertext blocks are independent from each other, allowing an attacker to substitute, delete or replay blocks unnoticed.

The feedback modes in fact turn the block cipher into a stream cipher by using the algorithm as a keystream generator. Since every mode may yield different usage and security properties, it is necessary to analyse them in detail. For the traditional modes like Output Feedback (OFB), Cipher Feedback (CFB), Counter (CTR) and their variants, this has been done thoroughly, but there are also researches on rarely used modes like Plaintext or Key Feedback mode and combination modes like CTR-OFB and CTR-CFB.

In cases where buffering is limited or when characters must be processed as they are received (e.g. in terminals) it is useful or even mandatory to use a stream cipher for en-/decryption. Furthermore, many stream ciphers are superior to block ciphers concerning error propagation. Hence building stream ciphers from block ciphers can be useful alternative to other stream ciphers. This paper aims to give an overview on these modes of operation and their security, as their understanding is imperative for any cryptosystem that is build on top of them.

1. Introduction

A stream cipher is defined as an algorithm for encryption and decryption of individual plaintext digits (e.g. single bits or bytes), as opposed to block ciphers that encrypt blocks of a fixed bit length. Usually they employ a symmetric key for generating a pseudo random cipher bit stream (key stream), which then is combined with the plaintext, typically using an XOR-operation. Therefore basic stream ciphers provide only confidentiality without integrity protection. In the case of messages with a high amount of redundancy (like in natural language or in many data formats), error propagation may be sufficient to detect modifications to the message, but in general an additional cryptographic operation is needed to guarantee the integrity of a message.

Stream ciphers are especially important where data must be processed in real time or where data comes in quantities of unknown length and padding or buffering must be avoided. The distinction between block and stream ciphers is not always clear, as block ciphers provide stream cipher properties in certain modes of operation, e.g. cipher feedback (CFB) mode, output feedback (OFB) mode and counter (CTR) mode. Furthermore a block cipher could be understood as a stream cipher with large characters, e.g. 64 bit instead of one bit or byte. Stream ciphers tend to be faster and easier to implement in hardware than block ciphers are. Even stream ciphers build from block ciphers can often be implemented more efficient than the block cipher on its own, because the block cipher decryption is not needed in most cases.

Stream ciphers are related to the one-time pad (OTP) that uses a key of the same length as the plaintext and has been proven to be theoretically secure. Stream ciphers deduce a key stream (endless and never repeating in the ideal case, but at least with a period that is much longer than the number of encrypted bits) from a shorter key to overcome the disadvantage of requiring such a long key. The output of the keystream generator at a specific time is determined by an internal state. Both state and key must be impossible to recover by looking at the key stream, moreover it should be indistinguishable from random noise. From a theoretical point of view, analysing the security of a stream cipher can be narrowed down completely to the analysis of the pseudo randomness of the key stream.

Stream ciphers can be synchronous (also called key auto-key, KAK) or asynchronous (also called ciphertext autokey, CTAK).

In synchronous ciphers, the keystream is independent from plaintext and ciphertext, and therefore can be precomputed and will be unaffected by transmission errors. Bitflips in ciphertext affect only a single bit in the corresponding plaintext, which can be useful if the transmission error rate is high; besides, this property allows many error correcting codes to function normally even when applied before encryption. Measures need to be taken to allow sender and receiver to regain synchronisation after insertion or deletion of bits caused by transmission errors or an active attacker, for example utilisation of markers in the ciphertext at regular intervals, or by systematically trying offsets until messages decrypt correctly again. It is important to regain synchronisation without using part of the keystream twice.

By contrast, asynchronous stream ciphers are self-synchronizing, because they use n ciphertext characters to generate the keystream. Accordingly sender and receiver will be automatically synchronised after n ciphertext characters, and bit error propagation is limited to n plaintext characters. Since each character affects the entire following ciphertext, the statistical properties of the plaintext (e.g. frequency of letters in

natural language) are disseminated through the ciphertext. Hence, asynchronous stream ciphers may be more resistant against attacks based on plaintext redundancy.

Most ciphers need an initialization vector (IV) that must be known to each involved party, for example as a replacement for a feedback value in the first round. In most cases an IV needs not to be secret, but may be subject to other requirements, such as unpredictability. One method to generate unpredictable IV avoiding pseudo random number generators would be to generate a unique nonce (which may be a counter) and to encrypt it under the same key that is used for the encryption of the plaintext.

2. Attacks

There are several attacks models for cryptanalysis, which can be applied to stream ciphers as well as block ciphers in stream cipher mode of operations. An attacker is assumed to have knowledge or control of plaintext or ciphertext and tries to deduce information about the key or plaintext. Other attacks aim to modify or replay messages without knowledge of the key.

2.1 Ciphertext-only attack

In general, ciphertext only attacks belong to the hardest attacks for cryptanalyst, since they have no other information than the ciphertext. However, using a stream cipher key more than once together with the same initial state causes an identical keystream to be applied to different plaintexts. An attacker who applies the XOR operation to the resulting ciphertexts obtains the XOR of the corresponding plaintexts, which may allow him to find out the individual plaintexts and the keystream, especially if the plaintext messages are written in a natural language or if one of the streams consists entirely of null characters. The latter can occur in scenarios where continuous data streams are used to defeat traffic analysis, for example for military communication. Another kind of a ciphertext-only attack is a bruteforce attack, where the attacker simply tries all possible keys.

2.2 Known-plaintext attack

In many cases, the attacker knows part of the plaintext and the corresponding ciphertext, because of known structures like file headers that are encrypted together with the unknown parts of the plaintext. In the case of stream ciphers, the XOR operation of plaintext and ciphertext discloses the keystream to an attacker. If the same keystream is reused, an attacker is able to decrypt the unknown plaintext. Apart from that, he may be able to gain information about further parts of the keystream, if the keystream generator has weaknesses.

2.3 Insertion attack

Another example for an attack that exploits a reused keystream would be an insertion attack on a synchronous cipher. An attacker who knows a ciphertext and has the capability to make the sender encrypt the same plaintext with the same keystream again, but with one inserted bit p' that is known to the attacker, can decrypt the whole plaintext after the inserted bit. The keystream bit at position i after the insertion can be computed as

$$k_i = c_i \oplus p_{i-1}$$

and the keystream bit at the position of the inserted bit is

$$k_0 = c_0 \oplus p'$$

2.4 Replay attack

As the keystream depends solely on the previous ciphertext bits in asynchronous ciphers, it is possible to perform replay attacks. After the first n replayed bits the receiver is synchronised to the attacker and can decrypt the old ciphertext without noticing that it is not fresh.

2.5 Substitution attack

An attacker can change the ciphertext in a way that may result in predictable changes in the plaintext. The ciphertext C_i is the XOR of plaintext p_i and keystream k_i . Consequently an attacker can construct some x_i and XOR it with C_i , which results in $enc_{k_i}(p_i) \oplus x_i = p_i \oplus k_i \oplus x_i = enc_{k_i}(p_i \oplus x_i)$

3. Cipher Feedback Mode

In CFB, a block cipher is used to build a self-synchronizing stream cipher that provides confidentiality. It utilises a character size $r < n$, where n is the block size of the block cipher. For r -bit-CFB encryption, r bit of the ciphertext of the previous cycle are fed back as input for block cipher encryption through an n -bit shift register. The plaintext is XORed with r bits of the block cipher output, while the remaining $n-r$ bits are discarded. Decryption works similar; note that for both encryption and decryption, the block cipher is used in encryption mode .

For the first input block, CFB mode requires an IV, which does not need to be secret, but must be unpredictable. From the second input block on, the input block I_i is the concatenation of the r -bit leftshifted previous input block and the previous ciphertext. The output block is the block cipher encryption of the input block, and the ciphertext is computed as XOR of Plaintext P_i and the r most significant output block bits. For decryption, the ciphertext is XORed with the output block again to cancel out the keystream and produce the original plaintext.

$$\begin{aligned}
 I_i &= \text{LSB}_{n-r}(I_{i-1}) \parallel c_{i-1} \quad (I_1 = IV) \\
 O_i &= \text{enc}_k(I_i) \\
 C_i &= P_i \oplus \text{MSB}_r(O_i) \\
 P_i &= C_i \oplus \text{MSB}_r(O_i)
 \end{aligned}$$

As each input block depends on the previous ciphertext, CFB encryption cannot be parallelised, except when interleaving is used. It is possible to perform CFB decryption in parallel if the input blocks are assembled first (in series) from the ciphertext and the IV.

CFB mode discards $n - r$ bits of each block cipher encryption, thereby decreasing throughput by a factor $n-r$ compared to ECB or CBC mode

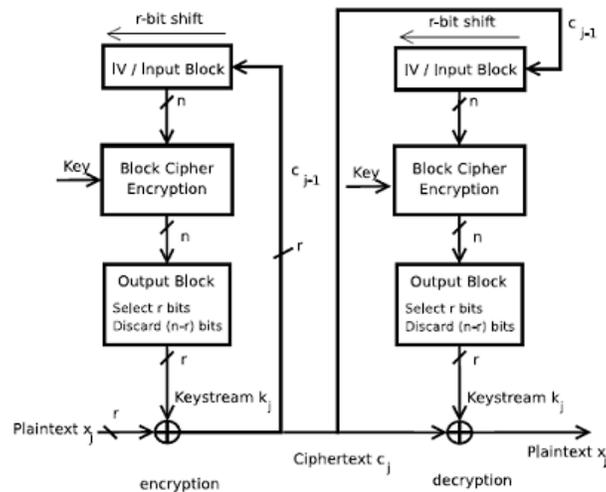


Fig 1: Cipher Feedback mode

3.1 Security aspects

One approach to improve the efficiency of CFB mode with a small symbol size (e.g. 1-bit-CFB) could be to reduce the number of rounds of the underlying block cipher. Preneel et al. demonstrate in [PNB94] that reducing the round size of DES in CFB mode allows an attacker to gain knowledge of several key bits via differential or linear attacks.

- Standard DES has 16 rounds (denoted by N in the following), a key size of 56, a block length of 64, and uses S-Boxes for diffusion of the effect of the key bits. In DES with less than 5 rounds, due to the selection of the leftmost bits in r -bit-CFB with r less than 64, the number of key bits that influence the ciphertext can be considerably lower than 56. This is not only caused by the S-Boxes, but also by the initial (IP) and final (IP⁻¹) permutation in DES, that normally has no cryptographic meaning and was probably introduced to facilitate hardware implementation.
- Chaum and Evertse presented a meet in the middle attack on DES with a reduced number of rounds in [CE85] that is faster than exhaustive search for $N < 6$. Preneel et al. show that this attack is significantly harder for r -bit-CFB with r less than 64.
- As only a part of the output is known in the CFB mode, the conventional differential attack on DES fails, but the authors propose an extended differential attack that discloses part of the key to an attacker. The exact number of disclosed bits varies for different N and r , e.g. 3 bit for $r = 8$ and 6 bit for $r = 16$ for $N = 4$ rounds. Again, this attack is influenced by the final permutation: without IP⁻¹ only information on the output of S-boxes of the last round would be available and the attack would fail.
- The linear attack discovered by Mitsuru Matsui in 1993 using known plaintexts can be applied to CFB without much modifications and reveals 7 bits of the key for DES with 8 rounds.

Moreover the authors state that the most powerful attack on CFB mode is a chosen ciphertext attack. For r -bit CFB, known and chosen plaintext attacks are equivalent as in both cases the attacker has no control over the input of the block cipher; however, a chosen ciphertext attack on CFB corresponds to a chosen plaintext attack in ECB mode, because the ciphertext is fed back into the block cipher. Nevertheless, a chosen ciphertext attack on CFB is harder, because the cryptanalyst can observe only r bits of the block cipher output.

4. OUTPUT Feedback Mode

OFB is a confidentiality mode that is build very similar to CFB, but differs in properties like error propagation. The reason is a different feedback structure: As the name implies, OFB uses the previous output of the block cipher instead of the previous ciphertext. That makes the ciphertext independent of plaintext and ciphertext, and therefore identifies OFB as a synchronous stream cipher.

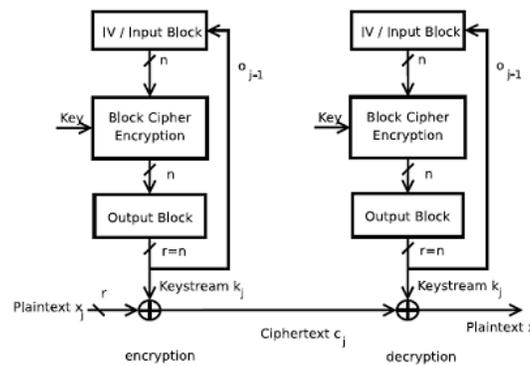


Figure 2 : Output feedback mode

$$\begin{aligned}
 I_i &= O_{i-1} \quad (I_1 = IV) \\
 O_i &= \text{enc}_k (I_i) \\
 C_i &= P_i \oplus O_i
 \end{aligned}$$

$$P_i = C_i \oplus O_i$$

In the first cycle, an IV is encrypted by the block cipher and the resulting output block is XORed with the plaintext to produce the ciphertext. For the subsequent cycles, the IV is replaced by the previous output block. For an incomplete final block the remaining bits are discarded. As OFB is a synchronous stream cipher, keystream generation is exactly the same for encryption and decryption and can be pre-computed prior to the availability of the plaintext or ciphertext data if the IV is known. Multiple cycles cannot be performed in parallel, because each block cipher encryption requires the previous block cipher output as input. Interestingly it is possible to obtain an OFB mode keystream by using CBC mode with a constant string of zeroes as input. Like in CFB, OFB requires a unique IV for every message, because the confidentiality of a message may be compromised if a combination of a previously used key and IV is reused. Encrypting identical plaintexts with different IVs results in different ciphertexts.

In contrast to CFB, single bit errors in the plaintext complement only the corresponding bits in the ciphertext and do not affect further blocks. This property, together with the possibility to make OFB

operate at very high speeds makes OFB particularly well suited for the encryption of data streams like voice and video, especially on noisy channels where error propagation could easily render encrypted transmission nearly impossible.

OFB requires both parties to be synchronous, therefore loss or insertion of bits destroys the alignment until explicit re-synchronization is enforced. Jueneman [Jue82] names multiple solutions for this problem, sending synchronisation signals at predetermined intervals being the most prominent.

CBC mode and OFB with full feedback both operate on the same block size, for example 64 bit for DES. Still, OFB is considered to be a stream cipher mode of operation, while CBC is considered to be a block cipher. It is possible to understand CBC as a stream cipher with very large character size, but unlike OFB, CBC always has to wait for completion of a whole block before it can be applied. However, for OFB the keystream - although composed of blocks of the same size as in CBC - can be used for single bits without the need for a plaintext buffer.

4.2 Security aspects

The security of stream ciphers depends on the unpredictability of the keystream. In the case of OFB with DES (which operates on blocks of 64 bit) the keystream generator is a finite state machine with 2^{64} states, hence it must repeat after 2^{64} states or less.

In OFB with full feedback (as shown in fig. 2), the keystream is generated by the repeated application of the block cipher encryption, which is effectively a random choice among all $2^{64}!$ permutations. The actual randomness of the keystream is in fact less important than the cycle size; and as the encryption function has a unique inverse, namely decryption, for each key all states out of the possible 2^{64} states must be member of one single disjoint cycle. The resulting average cycle length of approximately 2^{63} to be "large enough for all practical purposes", as it is very near to the obtainable maximum. Smaller cycles are possible but improbable; for example the probability of cycles of 10^6 states or less is 2^{-44} .

If OFB is used with feedback limited to $r < n$ bits (where n is the block size of the block cipher), the rightmost $n - r$ bits are discarded and the remaining bits become the next keystream bits and also get placed into the shift register that serves as input block for r -bit-OFB. In this case, a mathematical model of the keystream generator is necessary to estimate the average cycle length, but the requisite assumptions would have been difficult to justify on their own. Therefore Davies and Parkin carried out an experiment that reduced DES to a randomly chosen permutation of 256 states of an 8 bit register. For each value of r , they performed a test with 10000 permutations and calculated the cycle length distribution.

The experiment confirmed their theoretical results, showing that OFB with any other value than $r = 64$ is of greatly inferior security, since the average cycle length is reduced by a factor of 2^{32} or more. Davies and Parkin propose that $r = n$ should be the only recognised OFB mode, as opposed to the specification in [FIP80]. There is no advantage in using OFB with other values; furthermore, r -bit-OFB decreases throughput as per CFB mode.

4.3 Error detection and integrity protection

As OFB is a synchronous stream cipher, changes to individual bits in the ciphertext do not affect the encryption of other parts of the ciphertext. This lack of error propagation is regarded as a disadvantage in some cases, since it allows an adversary to make predictable changes to the deciphered plaintext and thus hampers development of mechanisms that detect message-stream modification attacks. Error detection codes may be sufficient to realize integrity protection in modes like CFB or CBC which both have a large error propagation, but are not adequate for synchronous stream ciphers. The OFB mode is not suitable as a basis for communication security for most applications, regardless of the purpose of OFB that is only confidentiality. The usage of message segment numbers and a checksum or hash function to protect messages against modification, but points out that the Modification Detection Code (MDC) proposed in the then-current drafts of the Federal Standard 1025 and 1026 are an example for a failed protection. He suggests using a message authentication code (MAC) for maximum security and shows that it is necessary to compute MAC and encryption with two separate encryption operations and different keys or at least different IVs. To avoid this effort, he introduces a quadratic residue checksum that involves the value of every bit of a message as well as its position and includes a secret, random seed. However, in many cases relevant to OFB, the type of traffic and the physical characteristics of the transmission medium may already provide an adequate integrity protection.

5. Counter Mode

CTR mode is a confidentiality mode similar to OFB mode that features a random access property, since it utilises a counter instead of the previous ciphertext or output block for updating the block cipher input block. It is not necessary to use a literal counter: any sequence that deterministically generates unique values for a long period starting from an appropriate initial value can be used, for example a Pseudo Random Number Generator (PRNG). As using identical counter values twice with the same key on different messages compromises the confidentiality of the corresponding plaintext blocks, the counter blocks must be unique, i.e. the incrementing function should have a big cycle length. CTR mode needs an IV that is for example XORed with the counter as shown in figure 3 or used as a part of the initial counter value. The result is referred to as *ctr* and is used as input block for the block cipher.

$$ctr = Counter_i \oplus IV \text{ (example)}$$

$$O_i = enc_k (ctr)$$

$$C_i = P_i \oplus O_i$$

$$P_i = C_i \oplus O_i$$

CTR mode is fully parallelizable since it does not use feedback, thus allowing the use of aggressive pipelining, multiple instruction dispatch per clock cycle and other architectural features that enhance the performance. Pre-computation of the keystream prior to the availability of the plaintext or ciphertext can be used to further increase speed. To allow encryption and decryption to be done out of order, each block must be tagged with an index to obtain the needed counter value.

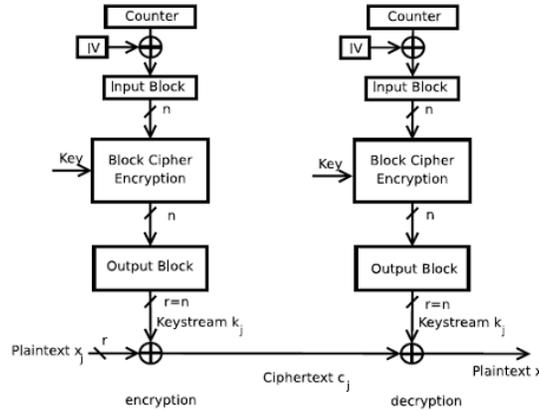


Figure 3 : Counter Mode

Error properties for CTR mode are identical to those of OFB mode, since both are synchronous stream ciphers. Variations of CTR mode include the so called Dual Counter Mode (DCM) proposed by Boyle and Salter in for high-speed encryption of IP-packages. It is described as a hybrid of ECB mode and CTR mode and was designed to cope with out-of-order packages and to allow a simple integrity check.

5.2 Security aspects

The usage of a deterministic counter as input for the block cipher encryption has Jueneman's statement written in 1982, three years after the counter mode has been proposed by Diffie and Hellman and nearly 20 years before it was finally accepted by NIST3, is a typical example for critique on CTR mode: "But the author's intuitive feeling is that to deliberately expose any cryptosystem to a known systematic input would represent an unnecessary risk. This point of view is based on the experience that predictability is a common point of failure for cryptosystems, and on the assumption that an incrementing counter as block cipher input cannot create enough entropy.

Disk encryption in CTR mode

Its random-access property makes counter mode a promising candidate for hard disk encryption, because it would significantly facilitate access to non-consecutive blocks. Nevertheless it is not suitable for hard disk encryption4, because an adversary may very well be able to gather different encrypted versions of the same block due to file system or caching mechanisms or because he has access to the disk before and after a change to a block. Again, the XOR of different ciphertext versions may allow him to decipher the individual plaintexts

6 Key Feedback Mode

KFB is a rarely used confidentiality mode that uses the block cipher output block to form the block cipher key of the next round. As the keystream is independent of plaintext and ciphertext, KFB turns a block cipher into a synchronous stream cipher and therefore shares most of the error properties and some other characteristic with OFB. KFB outputs m bits (typically $m = 8$) at a time and uses a constant bitstring p as block cipher input, a key k of n bit length, and a $n \times m$

matrix with non-zero rows as IV. It is possible to reduce the size of the matrix and it does not need to be secret but must be random. If the output block size of the block cipher is not equal to the key size, a function is needed to form a valid key from the output block.

$$O_i = f(\text{enc}_{K_i}(\text{Constant}), IV)$$

$$K_i = g(K_{i-1}) \quad K_0 = k$$

$$C_i = P_i \oplus O_i$$

$$P_i = C_i \oplus O_i$$

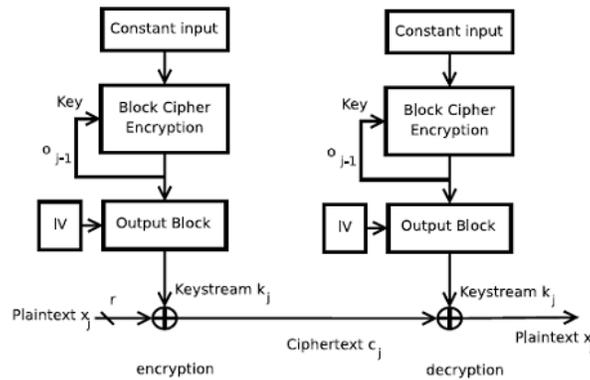


Figure 4 : Key feedback mode

6.1 Plaintext Feedback mode

PFB is a rarely used confidentiality mode that works similar to CFB mode, but feeds plaintext instead of ciphertext back into the block cipher. As the keystream is a function of the previous plaintext, PFB turns a block cipher into a self synchronizing stream cipher and therefore shares most of the error properties and some other characteristic with CFB.

$$I_i = P_{i-1} \quad (I_1 = IV)$$

$$O_i = \text{enc}_k(I_i)$$

$$C_i = P_i \oplus \text{MSB}_r(O_i)$$

$$P_i = C_i \oplus \text{MSB}_r(O_i)$$

Schneier mentions PFB in [Sch96], but recommends not to use it because it has no significant advantages over well-researched modes. For example, it resists known-plaintext attacks, but allows chosen-plaintext attacks, because an adversary could launch a chosen-plaintext attack choosing two identical successive plaintext blocks to cause them to be enciphered by an identical keystream.

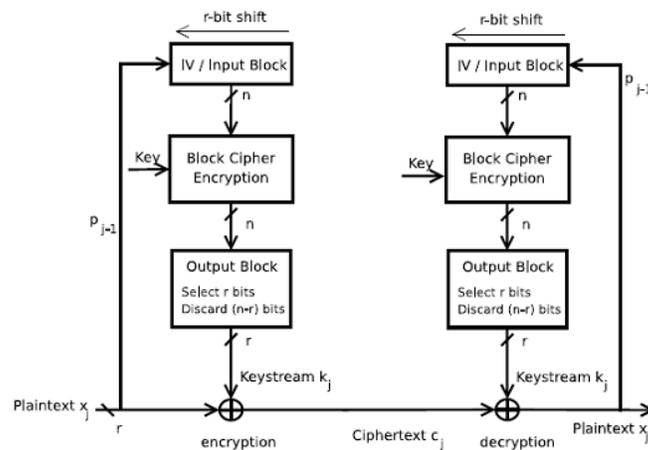


Figure 5: Plaintext Feedback Mode

7. Conclusion

This paper presented the standard modes of operation along with some uncommon modes. Apart from pointing out methods of exploiting and avoiding the most common design errors, This paper introduced techniques such as the analysis of average cycle lengths and the security notions for the definition of security bounds. The categorization into synchronous and asynchronous stream ciphers proved helpful as a first estimate for the properties of a stream cipher and hence can be used as a starting point for the choice of algorithms during the development of a cryptosystem. Though it is often advisable to stick to the standard modes, the counter mode can be seen as an example for an algorithm that was skeptically received for a long time, but finally convinced most experts and made its way into the standards. For the two alternative modes examined in the last chapter this seems improbable in case of PFB mode, but cannot be ruled out in case of KFB.

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